

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U74899DL1995GOI074649

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACF0937E

(ii) (a) Name of the company

IFCI FACTORS LIMITED

(b) Registered office address

10th Floor, IFCI Tower,
61, Nehru Place
New Delhi
Delhi
110019

(c) *e-mail ID of the company

smitkumar@ifcifactors.com

(d) *Telephone number with STD code

01146412805

(e) Website

www.ifcifactors.com

(iii) Date of Incorporation

14/12/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 15/11/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted ☒ Yes ☐ No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension T40930315

Pre-fill

(e) Extended due date of AGM after grant of extension 31/12/2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IFCI LIMITED	L74899DL1993GOI053677	Holding	99.9

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	300,000,000	279,438,860	279,438,860	279,438,860
Total amount of equity shares (in Rupees)	3,000,000,000	2,794,388,600	2,794,388,600	2,794,388,600

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10 / each				
Number of equity shares	300,000,000	279,438,860	279,438,860	279,438,860
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,000,000,000	2,794,388,600	2,794,388,600	2,794,388,600

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	200,000,000	0	0	0
Total amount of preference shares (in rupees)	2,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares of Rs. 10/- each				
Number of preference shares	200,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	154,300	279,284,560	279438860	2,794,388,600	2,794,388,600	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	154,300	279,284,560	279,438,860	2,794,388,600	2,794,388,600	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☒ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☒ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		25/09/2020	
Date of registration of transfer (Date Month Year)		11/08/2020	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor		12020600-01272907	
Transferor's Name	Bhardwaj		Indu
	Surname	middle name	first name
Ledger Folio of Transferee		IN301364-10061552	
Transferee's Name	Kaur		Maninder
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		18/06/2020	
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		IN301364-10090566	
Transferor's Name	VASANTHARAO	SATY	VENKATARAO
	Surname	middle name	first name
Ledger Folio of Transferee		IN301364-10309844	
Transferee's Name	MALIK		VARINDER
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		01/10/2020	
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor		IN300450-11284147	
Transferor's Name	Kaur		Kulvinder
	Surname	middle name	first name
Ledger Folio of Transferee		12047200-11028710	
Transferee's Name	Solanki		Navneet
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	1,581	1000000	1,581,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			1,581,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	1,581,000,000	0	0	1,581,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

298,138,748

(ii) Net worth of the Company

1,162,199,198

VL (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	8	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	279,154,692	99.9	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	279,154,700	99.9	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	183,660	0.07	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	10,500	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	90,000	0.03	0	
10.	Others	0	0	0	
	Total	284,160	0.1	0	0

Total number of shareholders (other than promoters)

20

Total number of shareholders (Promoters+Public/
Other than promoters)

29

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	20	20
Debenture holders	54	53

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	4	1	5	0	0
(i) Non-Independent	1	4	1	5	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	1	4	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Sunil Kumar Bansal	06922373	Nominee director	0	
Biranchi Narayan Nayak	00144147	Director	0	27/07/2021
Suresh Kumar Jain	05103064	Director	0	
Madhushree Nanda Aggarwal	08127682	Director	0	26/07/2021
Sachikanta Mishra	02755068	Nominee director	0	
Bikash Kanti Roy	02171876	Managing Director	0	
Manish Jain	AFCPJ3826J	CFO	0	
Mani Dev Sadh	BSSPS2217Q	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Emandi Sankara Rao	05184747	Nominee director	17/08/2020	Cessation
Arvind Kumar Jain	07911109	Director	25/09/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	25/09/2020	29	8	99.9

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	16/06/2020	5	5	100
2	27/08/2020	6	6	100
3	01/10/2020	6	5	83.33
4	09/11/2020	6	6	100
5	28/01/2021	6	5	83.33
6	25/03/2021	6	5	83.33

C. COMMITTEE MEETINGS

Number of meetings held

23

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	16/06/2020	3	3	100
2	Audit Committee	27/08/2020	3	3	100
3	Audit Committee	09/11/2020	3	3	100
4	Audit Committee	28/01/2021	3	3	100
5	Nomination and Remuneration	15/06/2020	3	3	100
6	Nomination and Remuneration	27/08/2020	3	3	100
7	Nomination and Remuneration	09/11/2020	3	3	100
8	Nomination and Remuneration	27/01/2021	3	3	100
9	Corporate Social Responsibility	27/01/2021	3	3	100
10	Corporate Social Responsibility	15/03/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	15/11/2021
								(Y/N/NA)
1	Sunil Kumar B	4	4	100	0	0	0	No
2	Biranchi Naray	5	5	100	2	2	100	No
3	Suresh Kumar	5	5	100	11	11	100	No
4	Madhushree M	6	4	66.67	17	17	100	Yes
5	Sachikanta Mi	6	5	83.33	23	19	82.61	Yes
6	Bikash Kanti F	6	6	100	15	15	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Bikash Kanti Roy	Director	3,897,751				3,897,751
	Total		3,897,751				3,897,751

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Manish Jain	CFO	2,255,000	0	0	0	2,255,000
2	Manidev Sadh	Company Secre	885,000	0	0	0	885,000
	Total		3,140,000	0	0	0	3,140,000

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total			0			0

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SURYA KANT GUPTA

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

10828

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

BIKASH
KANTI ROY
Digitally signed by
BIKASH KANTI ROY
Date: 2014.07.18 09:13:00 +05'30'

DIN of the director

02171876

To be digitally signed by

SMIT
KUMAR
Digitally signed by
SMIT KUMAR
Date: 2014.07.18 09:13:00 +05'30'

☒ Company Secretary

☐ Company secretary in practice

Membership number

39431

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders and Debentureholders
Approval for extension of AGM.pdf
MGT-8 IFCI Factors Limited.pdf
Details of Committee Meetings.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Auto-approved By

DS DS MINISTRY
OF CORPORATE
AFFAIRS (GOVT
OF INDIA)



सत्यमेव जयते

**GOVERNMENT OF INDIA
MINISTRY OF CORPORATE AFFAIRS**

Office of the Registrar of Companies
4th Floor, IFCI Tower 61, New Delhi, Delhi, India, 110019

DATED : 08-09-2021

IN THE MATTER OF M/S IFCI FACTORS LIMITED CIN U74899DL1995GOI074649

AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

The Company has closed its accounting year on 31-03-2021 and the Annual general meeting of the company is due to be held on 30-09-2021 as per requirements of section 96 of the Companies Act, 2013. The company has made an application vide SRN T40930315 on 06-09-2021 requesting for an extension of time for the purpose of holding AGM on the following grounds:

extension of AGM is allowed for three months.

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 3 months 0 days is considered.

ORDER

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 3 months 0 days is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.



Yours faithfully,

KAMNA SHARMA

DROC

Registrar of Companies

RoC - Delhi

Mailing Address as per record available in Registrar of Companies office:

IFCI FACTORS LIMITED

10th Floor, IFCI Tower,, 61, Nehru Place, New Delhi, Delhi, India, 110019



Note: This letter is to be generated only when the application is approved by RoC office

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS					
C. COMMITTEE MEETINGS					
Sr. No.	Type of Meeting	Date of Meeting	Total Number of Members as on date of meeting	Attendance	
				Number of Members Attended	% of Attendance
11	Committee of Directors	15/06/2020	4	4	100
12	Committee of Directors	27/08/2020	4	4	100
13	Committee of Directors	29/09/2020	4	4	100
14	Committee of Directors	09/11/2020	4	4	100
15	Committee of Directors	27/01/2021	4	4	100
16	Committee of Directors	26/02/2021	4	3	75
17	Committee of Directors	16/03/2021	4	3	75
18	Recovery Committee	15/06/2020	3	3	100
19	Recovery Committee	27/08/2020	3	2	66.67
20	Recovery Committee	09/11/2020	4	4	100
21	Recovery Committee	27/01/2021	4	4	100
22	Risk Management And Asset Liability Management Committee	15/06/2020	3	3	100
23	Risk Management And Asset Liability Management Committee	27/08/2020	3	2	66.67

For IFCI Factors Limited

Smit Kumar
Company Secretary
M.No. 39439

Add: 10th Floor, IFCI Tower,
61, Nehru Place,
New Delhi-110019

LIST OF SHARE HOLDERS OF IFCI FACTORS LTD AS ON March 31, 2021

First Name	Midle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares Held	Class of Shares
Vinod		Chopra	4		100	Equity share
Neena		Dhawan	5		100	Equity share
Vinod	Kumar	Dhawan	6		100	Equity share
Lokesh		Goyal	22		1000	Equity share
Amit		Vadhera	23		5000	Equity share
Sanjay		Prasad	29		1000	Equity share
A	K	Mehta	34		5000	Equity share
Sushant		Chabra	38		10000	Equity share
Jagdish		Kapoor	39		1000	Equity share
Reema		Ajmera	40		1000	Equity share
BalKrishna		Jaggi	42		5000	Equity share
Sanjay		Kumar		IN302679 - 30526953	10000	Equity share
Vijay		Roopchand	56		10000	Equity share
Brij	Mohan	Kapoor		IN300214 - 15194385	108900	Equity share
Rishi		Talwar	62		5000	Equity share
Puri Constructions Pvt Ltd			63		90000	Equity share
IEPF Authority MCA				IN300708-10656671	10500	Equity share
Deep		Kaur	67		10000	Equity share
G	P	Singh	68		10000	Equity share
IFCI Limited				IN300812 - 10491269	279154692	Equity share
Mohan		Puri		IN300685-20003807,	460	Equity share
				IN301330-21595688		Equity share
Maninder		Kaur		IN301364 -10061552	1	Equity share
Saravjeet		Kaur		IN301364 - 10162285	1	Equity share
Pooja		Singla		IN300450-14570578	1	Equity share
Abhay	Kumar	Sharma		IN301330 - 21645004	1	Equity share
Navneet		Solanki		12047200-11028710	1	Equity share
Jhummi		Mantri		12044700-08951511	1	Equity share



Varinder
Ashutosh

Malik
Singla

IN301364-10309844
IN301549-56562684

1
1

Equity share
Equity share

For IFCI Refers Limited



Smit Kumar
Company Secretary
Memb No.- A39431
Add-IFCI Tower, 10th Floor
61, Nehru Place, New Delhi-19

LIST OF DEBENTURE HOLDERS AS ON MARCH 31, 2021

NAME	ISIN	NUMBER OF DEBENTURES	TOTAL AMOUNT OF DEBENTURES
MTNL EMPLOYEES PROVIDENT FUND TRUST	INE494M09031	100.00	100000000
FOOD CORPORATION OF INDIA CPF TRUST	INE494M09031	70.00	70000000
HUTTI GOLD MINES CO LTD EMPLOYEES PROVIDENT FUND	INE494M09056	20.00	20000000
MTNL - GRATUITY TRUST	INE494M09056	200.00	200000000
A P S R T C EMPLOYEES PROVIDENT FUND TRUST	INE494M09056	100.00	100000000
BHARAT ELECTRONICS LIMITED PROVIDENT FUND	INE494M09056	50.00	50000000
HINDUSTAN STEEL LTD CENTRAL PURCHASE ORGANISATION SALES AND TRANSPORT CALCUTTA PROVIDENT FUND	INE494M09056	30.00	30000000
THE KMMML (PIGMENT UNIT) EMPLOYEES PROVIDENT FUND	INE494M09056	7.00	7000000
THE MATHRUBHUMI EMPLOYEES PROVIDENT FUND	INE494M09056	4.00	4000000
Rotary Education Foundation R I District 3010	INE494M09072	2.00	2000000
ASHOK LEYLAND SENIOR EXECUTIVES PROVIDENT FUND	INE494M09072	20.00	20000000
JNANAMANDAL LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	2.00	2000000
JANSEVA NAGARI SAHAKARI BANK MYDT	INE494M09072	10.00	10000000
CHENNAI PETROLEUM CORPORATION LTD EMPLOYEES PROVIDENT FUND	INE494M09072	81.00	81000000
GIIC LTD EPF TRUST	INE494M09072	2.00	2000000
TELECOMMUNICATION CONSULTANTS INDIA LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	4.00	4000000
JACOBS H AND G LTD EMPLOYEES GRATUITY FUND	INE494M09072	4.00	4000000
BOARD OF TRUSTEES MECON EMPLOYEES PROVIDENT FUND	INE494M09072	50.00	50000000
PUNJAB STATE WAREHOUSING CORPORATION EMPLOYEEPROVIDENT FUND	INE494M09072	10.00	10000000



HINDUSTAN PAPER CORPORATION LIMITED EMPLOYEES CONTRIBUTORY PROVIDENT FUND	INE494M09072	10.00	10000000
FOURESS ENGINEERING (INDIA) LTD STAFF PROVIDENT FUND TRUST	INE494M09072	3.00	3000000
MTNL EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	150.00	150000000
A P S R T C EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	100.00	100000000
THE MUNICIPAL CO-OP BANK EMPL PROV FUND	INE494M09072	7.00	7000000
ST JAMES SCHOOL EMPLOYEES PROVIDENT FUND	INE494M09072	2.00	2000000
BHARATIYA VIDYA BHAVAN STAFF CONTRIBUTORY PROVIDENT FUND	INE494M09072	5.00	5000000
PATEL ENGINEERING CO. LTD. PROVIDENT FUND	INE494M09072	10.00	10000000
KERALA AGRO MACHINERY CORPORATION EMPLOYEES' CPF	INE494M09072	4.00	4000000
UTTAM VALUE STEELS LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	7.00	7000000
ALSTOM T&D INDIA LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	19.00	19000000
GANNON DUNKERLEY AND CO LTD PROVIDENT FUND	INE494M09072	4.00	4000000
BHARAT ELECTRONICS LIMITED PROVIDENT FUND	INE494M09072	40.00	40000000
THE WILLINGDON SPORTS CLUB STAFF PROVIDENT FUND	INE494M09072	2.00	2000000
ELPRO INTERNATIONAL PROVIDENT FUND	INE494M09072	1.00	1000000
PENSION AND PROVIDENT FUND OF CENTURY TEXTILES AND INDUSTRIES LTD	INE494M09072	10.00	10000000
INDIAN ADDITIVES EMPLOYEES PROVIDENT FUND	INE494M09072	4.00	4000000
SRI SARASWATHI VIDYA PEETHAM EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	1.00	1000000
INDIAN POTASH LIMITED STAFF PROVIDENT FUND	INE494M09072	1.00	1000000
TRUSTEES PROVIDENT FUND OF THE EMPLOYEES OF THE UGAR SUGAR WORKS LTD	INE494M09072	4.00	4000000
SWAN SILK EMPLOYEES GRATUITY FUND TRUST	INE494M09072	1.00	1000000
THE DELHI PUBLIC SCHOOL SOCIETY	INE494M09072	15.00	15000000



MOHIT TANTIA	INE494M09072	1.00	1000000
ESCORTS HEART INSTITUTE AND RESEARCH CENTRE EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	5.00	5000000
L AND T (KANSBAHAL) OFFICERS AND SUPERVISORY STAFF PROVIDENT FUND	INE494M09072	2.00	2000000
L AND T (KANSBAHAL) STAFF AND WORKMEN PROVIDENT FUND	INE494M09072	4.00	4000000
J N PETIT PARSII ORPHANAGE PROVIDENT FUND	INE494M09072	3.00	3000000
APEPDCL PENSION AND GRATUITY TRUST	INE494M09072	300.00	300000000
NMB REHABILITATION AND WELFARE TRUST FOR SEAMEN	INE494M09072	70.00	70000000
SURUCHI FOODS PRIVATE LIMITED	INE494M09072	2.00	2000000
Rajiv Singhi	INE494M09072	2.00	2000000
JAIN VISHVA BHARATI UNIVERSITY	INE494M09072	4.00	4000000
MAHYCO PRIVATE LIMITED	INE494M09072	1.00	1000000
FRANK ROSS LTD EMPLOYEES' PROVIDENT FUND	INE494M09072	2.00	2000000
DLF CONTRIBUTORY PROVIDENT FUND	INE494M09072	6.00	6000000
THE STAFF PROV FUND OF THE MAH STATE CO OP MARKETING FEDERATION LIMITED	INE494M09072	12.00	12000000
THE GRATUITY FUND OF THE MAHARASHTRA STATE CO-OP MKT FED LTD	INE494M09072	1.00	1000000

For IFCI Factors Limited


 Smit Kumar
 Company Secretary
 M.No. 39431
 Add: 10th Floor, IFCI Tower,
 61, Nehru Place,
 New Delhi-110019



Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **IFCI FACTORS LIMITED** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to us by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, within the prescribed time. During the period under review the Company was not required to file any forms and returns with the Regional Director, Central Government, the Tribunal, Court or other authorities;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return and in the respective meetings, proper notices were given and the proceedings have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
 5. Closure of Register of Members / Security holders, as the case may be.
 6. During the FY 2020-21 the Company has not given any advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
 7. contracts/arrangements with related parties as specified in section 188 of the Act- there are no materially significant Related Party Transaction during the period under review;

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8. During the financial year ended March 31, 2021, the Company has not increased its Authorized Share Capital/ Paid up share capital. The Company has not issued any Preference Shares or debentures during the period under review and respectively not issued any security certificates in all instances. Whereas there were no transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ reduction of share capital during the period under review, except transfer of equity shares.
9. The Company during the period under review has not kept in abeyance any rights to dividend, rights shares and bonus shares pending registration of transfer of shares
10. declaration / payment of dividend, transfer of unpaid /unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act; The Company has not declared/paid any dividend and was not required to make any transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act.
11. The Company has the signed-copy of audited financial statement for the FY 2020-21 as per the provisions of section 134 of the Act and report of directors as per sub - sections (3) and (5) thereof;
12. The Company has duly constituted Board of Directors with proper balance of Executive and Non-Executive Directors except Independent Director. The Company has paid remuneration to its Directors and the Company has duly received disclosures of the Directors, during the period under review;
13. In the Financial year 2020-21 the Company has not appointed/ reappointed/ filled any casual vacancies of auditors as per the provisions of section 139 of the Act;
14. During the year under review no such specific approvals were required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted/ renewed/ repaid any deposits during the period under review;
16. In the FY 2020-21, the Company has not received any borrowings from its directors, members, public financial institutions but the borrowing has been received from banks. Whereas modification of charge has taken place during the said financial year;
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act - this clause is not applicable to the Company being a NBFC;

**SURYAKANT
GUPTA**

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18. During the period under review the Company has not alter any clause of its Memorandum of Association and Articles of Association of the Company.

For Surya Gupta & Associates
Company Secretaries

**SURYAKANT
GUPTA** Digitally signed by
SURYAKANT GUPTA
Date: 2021.12.30
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Suryakant Gupta

C.P. No. 10828

FCS: 9250

Peer Review No. 907/2020

UDIN: F009250C002002441

Place: Delhi

Date: 30-12-2021

Our above certificate of even date is subject to following:-

1. Maintenance of secretarial records is the responsibility of the management of the company.
2. We have followed the secretarial practices and process as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records for issuance of above certificate. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and books of accounts of the company.
4. Where ever required, we have obtained the management representation about the compliance laws, rules and regulation and happening of events.
5. Certificate in form MGT-8 is neither an assurance as to future viability of the company not of the efficacy and effectiveness with which the management has conducted the affairs of the company.

For Surya Gupta & Associates
Company Secretaries

**SURYAKANT
GUPTA**

Digitally signed by
SURYAKANT GUPTA

Date: 2021.12.30

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Suryakant Gupta

C.P. No. 10828

FCS: 9250

Peer Review No. 907/2020

UDIN: F009250C002002441

Place: Delhi

Date: 30-12-2021