

**FORM NO. MGT-7**

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]

**Annual Return**

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

**I. REGISTRATION AND OTHER DETAILS**

(i) \* Corporate Identification Number (CIN) of the company

U74899DL1995GOI074649

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACF0937E

(ii) (a) Name of the company

IFCI FACTORS LIMITED

(b) Registered office address

10th Floor, IFCI Tower,  
61, Nehru Place  
New Delhi  
Delhi  
110019

(c) \*e-mail ID of the company

amitkaul@ifcifactors.com

(d) \*Telephone number with STD code

01146412800

(e) Website

www.ifcifactors.com

(iii) Date of Incorporation

14/12/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	IFCI LIMITED	L74899DL1993GOI053677	Holding	99.89

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	200,000,000	79,357,700	79,357,700	79,357,700
Total amount of equity shares (in Rupees)	2,000,000,000	793,577,000	793,577,000	793,577,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares of Rs. 10/- each				
Number of equity shares	200,000,000	79,357,700	79,357,700	79,357,700
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	2,000,000,000	793,577,000	793,577,000	793,577,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	200,000,000	175,081,160	175,081,160	175,081,160
Total amount of preference shares (in rupees)	2,000,000,000	1,750,811,600	1,750,811,600	1,750,811,600

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Share of Rs. 10/- each				
Number of preference shares	200,000,000	175,081,160	175,081,160	175,081,160
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,000,000,000	1,750,811,600	1,750,811,600	1,750,811,600

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
At the beginning of the year	79,357,700	793,577,000	793,577,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0



vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	79,357,700	793,577,000	793,577,000	

### Preference shares

<b>At the beginning of the year</b>	175,081,160	1,750,811,600	1,750,811,600	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	175,081,160	1,750,811,600	1,750,811,600	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☒ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☒ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		15/09/2016	
Date of registration of transfer (Date Month Year)		23/08/2016	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		IN300450-13964969	
Transferor's Name	Vats	Kumar	Surendra
	Surname	middle name	first name
Ledger Folio of Transferee		IN300450-14570578	
Transferee's Name	TIKU		POOJA
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		25/08/2016	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		IDIN301364-10025792	
Transferor's Name	Arora	Kumar	Satpal
	Surname	middle name	first name
Ledger Folio of Transferee		IN300450-11711852	
Transferee's Name	RAUHILLA	PAL	DHARAM
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	2,500	500000	2,250,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			2,351,852,560
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			75,747,935
Deposit			0
<b>Total</b>			<b>4,677,600,495</b>

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	2,250,000,000	0	0	2,250,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

775,041,557

(ii) Net worth of the Company

1,634,636,461

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	8	0.00001008	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	79,154,692	99.74418613	175,000,000	99.95364436
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	<b>79,154,700</b>	<b>99.74</b>	<b>175,000,000</b>	<b>99.95</b>

Total number of shareholders (promoters)

9

(b) \*SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	113,000	0.14239324	81,160	0.04635564
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0



2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	90,000	0.11341054	0	0
10.	Others	0	0	0	0
	<b>Total</b>	<b>203,000</b>	<b>0.26</b>	<b>81,160</b>	<b>0.05</b>

**Total number of shareholders (other than promoters)**

21

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

30

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	21	21
Debenture holders	75	75

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	7	1	7	0	0
(i) Non-Independent	1	2	1	2	0	0
(ii) Independent	0	5	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	1	7	1	7	0	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

10

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Sanjeev Kaushik	02842527	Nominee director	0	01/09/2017
Dileep Kumar Jain	00380311	Nominee director	0	07/06/2017
Satbir Singh	06887978	Managing Director	0	19/04/2017
Vinod Kumar Gupta	03491240	Director	0	01/04/2017
Shrawan Nigam	01942618	Director	0	01/04/2017
Ajoy Kumar Deb	02691119	Director	0	01/04/2017
Rajib Sekhar Sahoo	02708503	Director	0	10/09/2017
Bandana Mukhopadhyay	07114150	Director	0	
Manish Jain	AFCPJ3826J	CFO	0	
Samir Raheja	AHTPR4884M	Company Secretar	0	24/10/2017

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

6

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Sudhir Garg	06777363	Director	08/12/2016	Cessation
Prasoon	03599426	Additional director	08/12/2016	Cessation
Malay Mukherjee	02272425	Director	12/12/2016	Cessation
Sanjeev Kaushik	02842527	Nominee director	30/12/2016	Appointment
Dileep Kumar Jain	00380311	Nominee director	30/12/2016	Appointment
Prasoon	03599426	Additional director	30/12/2016	Cessation

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	15/09/2016	30	7	99.74

### B. BOARD MEETINGS

\*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	29/04/2016	8	7	87.5
2	30/07/2016	8	6	75
3	03/11/2016	8	7	87.5
4	21/12/2016	7	7	100
5	01/02/2017	8	6	75

### C. COMMITTEE MEETINGS

Number of meetings held

25



S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	29/04/2016	5	5	100
2	Audit Committee	30/07/2016	5	4	80
3	Audit Committee	03/11/2016	5	4	80
4	Audit Committee	01/02/2017	5	4	80
5	Nomination and Remuneration	28/04/2016	4	4	100
6	Nomination and Remuneration	30/07/2016	4	3	75
7	Nomination and Remuneration	03/11/2016	4	4	100
8	Nomination and Remuneration	21/12/2016	3	3	100
9	Nomination and Remuneration	31/01/2017	4	3	75
10	Corporate Social Responsibility	31/01/2017	3	2	66.66666667

#### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	06/09/2017 (Y/N/NA)
1	Sanjeev Kausl	1	0	0	0	0	0	Not Applicable
2	Dileep Kumar	1	1	100	8	5	62.5	Not Applicable
3	Satbir Singh	5	5	100	16	16	100	Not Applicable
4	Vinod Kumar C	5	5	100	21	21	100	Not Applicable
5	Shrawan Niga	5	4	80	23	20	86.95652174	Not Applicable
6	Ajoy Kumar D	5	5	100	25	24	96	Not Applicable
7	Rajib Sekhar S	5	2	40	4	2	50	Yes
8	Bandana Mukl	5	5	100	1	1	100	Yes

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Satbir Singh	Managing Director	3,473,272	0	0	127,872	3,601,144
	Total		3,473,272	0	0	127,872	3,601,144

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Manish Jain	CFO	1,248,553	0	0	0	1,248,553
2	Samir Raheja	Company Secretary	1,395,506	0	0	0	1,395,506
	Total		2,644,059	0	0	0	2,644,059

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name Vineet Pant

Whether associate or fellow ☒ Associate ☐ Fellow

Certificate of practice number 15574

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ... 1.11 dated 18/07/2014

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director



DIN of the director

02763211

**To be digitally signed by**





- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

#### List of attachments

List of Shareholders and Debenture holder
MGT-8 IFCI Factors Ltd.pdf
Committee meetings.pdf

[Remove attachment](#)

<a href="#">Modify</a>	<a href="#">Check Form</a>	<a href="#">Prescrutiny</a>	<a href="#">Submit</a>
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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Auto-approved By





Committee Meetings					
S.No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11	Risk Management Committee	28/04/2016	4	4	100
12	Committee of Directors	2/6/2016	5	5	100
13	Committee of Directors	12/7/2016	5	4	80
14	Committee of Directors	31/08/2016	5	5	100
15	Committee of Directors	28/11/2016	5	5	100
16	Committee of Directors	21/12/2016	4	4	100
17	Committee of Directors	1/2/2017	5	5	100
18	Committee of Directors	22/02/2017	5	5	100
19	Committee of Directors	20/03/2017	5	5	100
20	Committee of Directors	31/03/2017	5	5	100
21	Recovery Committee	28/04/2016	5	4	80
22	Recovery Committee	30/07/2016	5	4	80
23	Recovery Committee	3/11/2016	5	4	80
24	Recovery Committee	31/01/2017	5	4	80
25	Asset Liability Management Committee	28/04/2016	4	4	100

For IFCI Factors Limited

*Samik Dasgupta*  
Samik Dasgupta

Managing Director

DIN-02763211

Add- IFCI Tower, 10th Floor  
61, Nehru Place, New Delhi-19

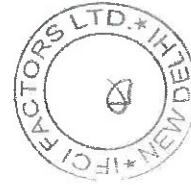






**LIST OF SHARE HOLDERS AS ON MARCH 31, 2017**

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares Held	Class of Shares
Vinod		Chopra	4		100	Equity share
Neena		Dhawan	5		100	Equity share
Vinod Kumar		Dhawan	6		100	Equity share
Navneet		Chibber	14		500	Equity share
Lokesh		Goyal	22		1000	Equity share
Amit		Vadhera	23		5000	Equity share
Sanjay		Prasad	29		1000	Equity share
A K		Mehta	34		5000	Equity share
Sushant		Chabra	38		10000	Equity share
Jagdish		Kapoor	39		1000	Equity share
Reema		Ajmera	40		1000	Equity share
BalKrishna		Jaggi	42		5000	Equity share
Sanjay		Kumar		IN302679 - 30526953	5000	Equity share
Vijay Roop		Chand	56		10000	Equity share
Brij		Kapoor		IN300214 - 15194385	33000	Equity share
Rishi	Mohan	Talwar	62		5000	Equity share
Puri Constructions Pvt Ltd			63		90000	Equity share
Daljit		Singh	66		10000	Equity share
Deep		Kaur	67		10000	Equity share
GP		Singh	68		10000	Equity share
IFCI Limited				IN300812 - 10491269	79154692	Equity share
Mohan		Puri	71		200	Equity share
Avinash		Kumar		IN301364 -10187707	1	Equity share
Saravjeet		Kaur		IN301364 - 10162285	1	Equity share
Pooja		Tiku		IN300450-14570578	1	Equity share
B N		Nayak		IN300450 - 11728203	1	Equity share
Sudhir Kumar	Kumar	Bhatia		IN301364 - 10187284	1	Equity share
Gopal		Singh		IN301364 - 10021143	1	Equity share
Vasantharao	Saty	Venkataram		IN301364 - 10090566	1	Equity share
Dharam	Pal	Rauhilla		IN300450-11711852	1	Equity share



IFCI Limited

Sanjay

Brij

Mohan

Mohan

Kumar

Kapoor

Puri

3

IN300812 -10491269

IN302679 - 30526953

IN300214 -15194385

175000000

5000

75900

260

Preference share

Preference share

Preference share

Preference share

FOR IFCI FACTORS LIMITED

*Samik Dasgupta*

Samik Dasgupta

Managing Director

DIN-02763211

ADD- IFCI Tower, 10th Floor

61 Nehru Place, New Delhi-19



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List of Debenture Holders as on March 31, 2017

First Name	Middle Name	Last Name	Reference Number	Number of debentures	Total amount of debentures
IFCI LIMITED			INE494M08017	500	250000000
BIBLE SOCIETY OF INDIA EMPLOYEES PROVIDENT FUND			INE494M09015	2	2000000
SESA MINING CORPORATION LIMITED EMPLOYEES PROVIDENT FUND			INE494M09015	6	6000000
SESA RESOURCES LIMITED EMPLOYEES PROVIDENT FUND			INE494M09015	7	7000000
NTC (TN AND P) LTD MANAGERS SUPERVISORS AND HEAD OFFICE STAFF PROVIDENT FUND			INE494M09015	3	3000000
MTNL - GRATUITY TRUST			INE494M09015	40	40000000
INSURANCE INSTITUTÉ OF INDIA EMPLOYEES PROVIDENT FUND			INE494M09015	3	3000000
INDIAN OIL CORPORATION LIMITED (ASSAM OIL DIVISION) EMPLOYEES PROVIDENT FUND			INE494M09015	25	25000000
NAGARIK SAHAKARI BANK LTD EMPLOYEES P FUND			INE494M09015	5	5000000
CHHATTISGARH STATE ELECTRICITY BOARD (CSEB) PROVIDENT FUND TRUST			INE494M09015	30	30000000
NAVODAYA VIDYALAYA SAMITI CONTRIBUTORY PROVIDENT FUND A/C			INE494M09015	30	30000000
SESA GROUP EMPLOYEES PROVIDENT FUND			INE494M09015	11	11000000
THE MATHRUBHUMI EMPLOYEES PROVIDENT FUND			INE494M09015	4	4000000
THE INSTITUTE OF COST AND WORKS ACCOUNTANTS OF INDIA EMPLOYEES' PROVIDENT FUND			INE494M09015	1	1000000
KPTCL AND ESCOMS PENSION TRUST			INE494M09015	10	10000000
KPTCL AND ESCOMS GRATUITY TRUST			INE494M09015	10	10000000
TRUSTEES IFICO LIMITED PROVIDENT FUND			INE494M09023	3	3000000
SPMCIL PROVIDENT FUND			INE494M09023	15	15000000
MTNL EMPLOYEES PROVIDENT FUND TRUST			INE494M09031	100	100000000
FOOD CORPORATION OF INDIA CPF TRUST			INE494M09031	70	70000000
CHENNAI PETROLEUM CORPORATION LTD EMPLOYEES PROVIDENT FUND			INE494M09049	54	54000000



JAYA HIND INDUSTRIES LTD PROVIDENT FUND	INE494M09049	5	5000000
A P S R T C EMPLOYEES PROVIDENT FUND TRUST	INE494M09049	100	100000000
MAA COMMUNICATIONS LTD EPF TRUST	INE494M09049	1	1000000
SHARAT ELECTRONICS LIMITED PROVIDENT FUND	INE494M09049	54	54000000
HUTTI GOLD MINES CO LTD EMPLOYEES PROVIDENT FUND			
	INE494M09056	20	20000000
MTNL - GRATUITY TRUST	INE494M09056	200	200000000
A P S R T C EMPLOYEES PROVIDENT FUND TRUST	INE494M09056	100	100000000
SHARAT ELECTRONICS LIMITED PROVIDENT FUND	INE494M09056	50	50000000
HINDUSTAN STEEL LTD CENTRAL PURCHASE ORGANISATION			
SALES AND TRANSPORT CALCUTTA PROVIDENT FUND	INE494M09056	30	300000000
THE KMML (PIGMENT UNIT) EMPLOYEES PROVIDENT FUND			
	INE494M09056	7	7000000
THE MATHRUBHUMI EMPLOYEES PROVIDENT FUND	INE494M09056	4	4000000
Rotary Education Foundation R I District 3010	INE494M09072	2	2000000
ASHOK LEYLAND SENIOR EXECUTIVES PROVIDENT FUND	INE494M09072	20	20000000
SHARP AND TANNAN ASSOCIATES EMPLOYEES PROVIDENT FUND			
	INE494M09072	1	1000000
STONE INDIA WORKERS PROVIDENT FUND	INE494M09072	1	1000000
SWAN SILK LTD EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	5	5000000
CHENNAI PETROLEUM CORPORATION LTD EMPLOYEES PROVIDENT FUND			
	INE494M09072	81	81000000
GLIC LTD EPF TRUST	INE494M09072	2	2000000
BOARD OF TRUSTEES MECON EMPLOYEES PROVIDENT FUND			
	INE494M09072	50	50000000
PUNJAB STATE WAREHOUSING CORPORATION			
EMPLOYEE PROVIDENT FUND	INE494M09072	10	10000000
HINDUSTAN PAPER CORPORATION LIMITED			
EMPLOYEES CONTRIBUTORY PROVIDENT FUND	INE494M09072	10	10000000
FOURSS ENGINEERING (INDIA) LTD STAFF PROVIDENT FUND TRUST			
	INE494M09072	3	3000000
MTNL EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	150	150000000



A P S R T C EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	100	100000000
THE MUNICIPAL CO-OP BANK EMPL PROV FUND	INE494M09072	7	7000000
BHARATIYA VIDYA BHAVAN STAFF CONTRIBUTORY PROVIDENT FUND	INE494M09072	5	5000000
PATEL ENGINEERING CO. LTD. PROVIDENT FUND	INE494M09072	10	10000000
ROCHE PRODUCTS (INDIA) PVT LTD EMPLOYEES PROVIDENT FUND	INE494M09072	6	6000000
KERALA AGRO MACHINERY CORPORATION EMPLOYEES' CPF	INE494M09072	4	4000000
UTTAM VALUE STEELS LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	7	7000000
ALSTOM T&D INDIA LIMITED EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	19	19000000
GANNON DUNKERLEY AND CO LTD PROVIDENT FUND	INE494M09072	4	4000000
BHARAT ELECTRONICS LIMITED PROVIDENT FUND	INE494M09072	40	40000000
THE WILLINGDON SPORTS CLUB STAFF PROVIDENT FUND	INE494M09072	2	2000000
ELPRO INTERNATIONAL PROVIDENT FUND	INE494M09072	1	1000000
PENSION AND PROVIDENT FUND OF CENTURY TEXTILES AND INDUSTRIES LTD	INE494M09072	10	10000000
INDIAN ADDITIVES EMPLOYEES PROVIDENT FUND	INE494M09072	4	4000000
INDIAN POTASH LIMITED STAFF PROVIDENT FUND	INE494M09072	1	1000000
TRUSTEES PROVIDENT FUND OF THE EMPLOYEES OF THE UGAR SUGAR WORKS LTD	INE494M09072	4	4000000
SWAN SILK EMPLOYEES GRATUITY FUND TRUST	INE494M09072	1	1000000
CONSULTING ENGINEERING SERVICES (INDIA) PVT LTD STAFF PROVIDENT FUND	INE494M09072	7	7000000
SGS INDIA PVT LTD STAFF PROVIDENT FUND	INE494M09072	12	12000000
ESCORTS HEART INSTITUTE AND RESEARCH CENTRE	INE494M09072	5	5000000
EMPLOYEES PROVIDENT FUND TRUST	INE494M09072	2	2000000
L AND T (KANSBAHAL) OFFICERS AND SUPERVISORY STAFF PROVIDENT FUND	INE494M09072	2	2000000



LAND T (KANSAHAL) STAFF AND WORKMEN PROVIDENT FUND  
 J N PETIT PARS ORPHANAGE PROVIDENT FUND  
 APEPCL PENSION AND GRATUITY TRUST  
 NMB REHABILITATION AND WELFARE TRUST FOR SEAMEN  
 JOHN FOWLER (INDIA) LTD EMPLOYEES PROVIDENT FUND  
 DLF CONTRIBUTORY PROVIDENT FUND  
 DELHI PUBLIC SCHOOL STAFF PROVIDENT FUND TRUST  
 SURUCHI FOODS PRIVATE LIMITED  
 THE STAFF PROV FUND OF THE MAH STATE CO OP MARKETING FEDERATION LIMITED  
 THE GRATUITY FUND OF THE MAHARASHTRA STATE CO-OP MKT FED LTD

INE494M09072	4	4000000
INE494M09072	3	3000000
INE494M09072	300	300000000
INE494M09072	70	70000000
INE494M09072	1	1000000
INE494M09072	6	6000000
INE494M09072	15	15000000
INE494M09072	2	2000000
INE494M09072	12	12000000
INE494M09072	1	1000000

FOR IFCI FACTORS LIMITED

*Samik Dasgupta*  
 Samik Dasgupta  
 Managing Director

DIN-02763211

ADD- IFCI Tower, 10th Floor  
 61 Nehru Place, New Delhi-19







Vineet Pant & Associates  
Company Secretaries

**Form No. MGT-8**

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]**

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

We have examined the registers, records and books and papers of **M/s IFCI FACTORS LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2017. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.  
B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefor;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time, wherever applicable;
4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be; (Not Applicable to the Company during the financial year)
6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; (Not Applicable to the Company during the financial year)
7. Contracts/arrangements with related parties as specified in section 188 of the Act;
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances; (Not Applicable to the Company during the financial year)
9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; (Not Applicable to the Company during the financial year)

Address: SRD-11/A, Shipra Riviera, Indirapuram, Ghaziabad, U.P. - 201014


E-mail ID: [csvineetpant@gmail.com](mailto:csvineetpant@gmail.com) | Mob: 8285735622





10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act ; (Not Applicable to the Company during the financial year)
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; (Not Applicable to the Company during the financial year)
15. Acceptance/ renewal/ repayment of deposits; (Not Applicable to the Company during the financial year)
16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable; (Not Applicable to the Company during the financial year)
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act. (The Company being an NBFC falls under exempted category under Sec 186 (11) of the Act.)
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; (Not Applicable to the Company during the financial year)

**For Vineet Pant & Associates**

  
**ACS Vineet Pant**  
**Membership No. 41548**  
**C.P. No. 15574**



**Date: 30/09/2017**  
**Place: Ghaziabad**